



BOX-58-1436

0590

5/8

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Graham *et al.*

Application No.: 09/909,414

Filed: 7/19/2001

Title: RECOMBINASE-BASED SYSTEM
FOR CONSTRUCTION OF
ADENOVIRUS VECTORS

Group Art Unit: 1636

RECEIVED

APR 18 2002

TECH CENTER 1600/2900

Attorney Docket No.: AdVec10CA

**RESPONSE TO NOTICE TO COMPLY WITH REQUIREMENTS FOR
PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR
AMINO ACID SEQUENCE DISCLOSURES**

To: Assistant Commissioner for Patents
Washington, D.C. 20231

In response to the Notice's requirement to comply with the requirements of 37 C.F.R. 1.821-1.825, the following is provided:

Provided with this Response is a written copy of the "Sequence Listing" for this Application in accordance with 37 C.F.R. 1.821(c). Also provided is a substitute computer readable format copy of the "Sequence Listing" on a properly identified 3 ½ inch 'floppy disk'.

The claims as filed comply with 37 C.F.R. 1.821(d).

In the event that the CRF enclosed does not, for any reason, comply with all requirements, it is respectfully requested, in the alternative, that the U.S. Patent Office use as the electronic copy for the present application the compliant computer readable "Sequence Listing" that is already on file in the parent application, U.S. Application No. 09/263,650, filed 03/05/1999, and entitled, "A HIGH-EFFICIENCY CRE/LOXP BASED SYSTEM FOR CONSTRUCTION OF ADENOVIRUS VECTORS".

The Applicant states that the paper copy of the "Sequence Listing" in the present application is identical to the computer readable copy enclosed herewith, and to that filed for the parent application. This is in accordance with 37 C.F.R. 1.821(e).

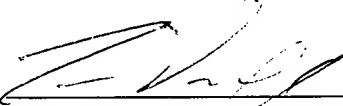
Applicants also note that the sequence in the "Sequence Listing" is identical with that provided in the intervening parent application, U.S. Application No. 09/263,650, filed 03/05/1999, and entitled, "A HIGH-EFFICIENCY CRE/LOXP BASED SYSTEM FOR CONSTRUCTION OF ADENOVIRUS VECTORS".

In accordance with 37 C.F.R. 1.821(f), I hereby state that the sequence listing information recorded in the compliant computer readable "Sequence Listing" of the parent application 09/263,650 is identical to the written paper copy of the "Sequence Listing," provided herein.

In accordance with 37 C.F.R. 1.821(g), I hereby state that the content of the paper copy of the "Sequence Listing" and the compliant computer readable "Sequence Listing" from the parent application 09/263,650 contain no new matter.

The Applicants believe that all requirements under of 37 C.F.R. 1.821-1.825 are met with the above provided information and statements. Should the U.S. Patent Office find that any requirement has not been fully met, it is respectfully requested that the Attorney indicated below be contacted by telephone and provided an opportunity to fully comply with all requirements under 37 C.F.R. 1.821-1.825.

Respectfully submitted,

By 
Timothy H. Van Dyke, Reg. No. 43218

Date: April 12, 2002

Customer No: 29847
Van Dyke & Associates, P.A.
1630 Hillcrest Street
Orlando, FL 32803
Phone: 407-228-0328; Fax: 407-228-0329

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope with sufficient postage addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231.

Date of Deposit: April 12, 2002

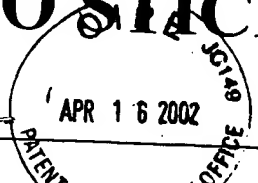
Typed Name: Timothy H. Van Dyke

Signature: 

DISK TO STICK

DATE:

APR 16 2002





UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/909,414	07/19/2001	Frank L. Graham	AdVec10CA

29847
VAN DYKE & ASSOCIATES, P.A.
1630 HILLCREST STREET
ORLANDO, FL 32803

RECEIVED

APR 18 2002

TECH CENTER 1600/2900

CONFIRMATION NO. 7286

FORMALITIES LETTER



OC000000007453865

Date Mailed: 02/11/2002



NOTICE TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE DISCLOSURES

Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file the items indicated below to avoid abandonment. Extensions of time may be obtained under the provisions of 37 CFR 1.136(a).

- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE